

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kent F. Hayes, Jr. : Date: March 18, 2004

Serial Number: N/A : Group Art Unit: N/A

Filed: Herewith : Examiner: Unknown

Title: Method, System and Program
Product for Using Open Mobile Alliance
(OMA) Alerts to Send Client
Commands/Requests to an OMA DM
Server : IBM CORPORATION
: IP Law - Dept. T81/Bldg. 503
3039 Cornwallis Road
: Research Triangle Park, NC 27709

INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97

Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

The attached Form PTO-1449, and any attached foreign patent office search report, provides a listing of information which may be relevant to the subject application. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

X 37 CFR 1.97(b) - No Fee.

 37 CFR 1.97(c) - No Fee. Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. This communication is dated _____, which is not more than 3 months prior to the mailing of this statement.

 37 CFR 1.97(c) - Fee.

 37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge payments of any associated fees required under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. 09-0461 (IBM).

Respectfully submitted,
By: _____

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Telephone No.: (518) 449-0044

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	
				Filing Date	
				First Named Inventor	Kent F. Hayes, Jr.
				Art Unit	
				Examiner Name	
(use as many sheets as necessary)					
Sheet	1	of	2	Attorney Docket Number	RSW920030235US1

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature	Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Applicant's unique claim designation number (optional). See RIN 3750-AJ01; Patent Documents at www.uspto.gov or WIPO ST.16/34.
⁴Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).
⁵For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.
⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.
⁶Applicant is to place a check mark here if English language Translation is attached.

attached.
Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1449B/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	
				Filing Date	
				First Named Inventor	Kent F. Hayes, Jr.
				Group Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	RSW920030235US1
(use as many sheets as necessary)					

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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